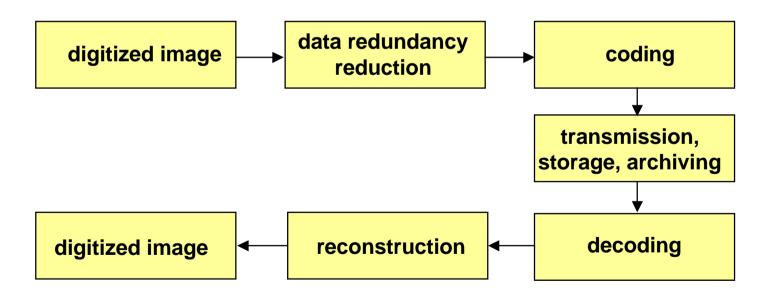
# **Image Data Compression**

## Image data compression is important for

- image archiving e.g. satellite data
- image transmission e.g. web data
- multimedia applications e.g. desk-top editing

Image data compression exploits redundancy for more efficient coding:



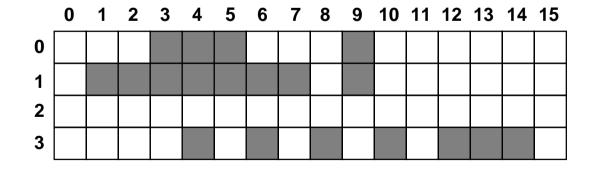
# **Run Length Coding**

Images with repeating greyvalues along rows (or columns) can be compressed by storing "runs" of identical greyvalues in the format:

greyvalue1 repetition1 greyvalue2 repetition2 ...

For B/W images (e.g. fax data) another run length code is used:





run length coding:

(0 3 5 9 9) (1 1 7 9 9) (3 4 4 6 6 8 8 10 10 12 14)

# **Probabilistic Data Compression**

A discrete image encodes information redundantly if

- 1. the greyvalues of individual pixels are not equally probable
- 2. the greyvalues of neighbouring pixels are correlated

Information Theory provides limits for minimal encoding of probabilistic information sources.

Redundancy of the encoding of individual pixels with G greylevels each:

$$r = b - H$$

$$H = \sum_{g=0}^{G-1} P(g) \log_2 \frac{1}{P(g)}$$

**b** = number of bits used for each pixel

$$= \lceil \log_2 G \rceil$$

H = entropy of pixel source

= mean number of bits required to encode information of this source

The entropy of a pixel source with equally probable greyvalues is equal to the number of bits required for coding.

# **Huffman Coding**

The Huffman coding scheme provides a <u>variable-length code</u> with minimal average code-word length, i.e. <u>least possible redundancy</u>, for a discrete message source. (Here messages are greyvalues)

- 1. Sort messages along increasing probabilities such that  $g^{(1)}$  and  $g^{(2)}$  are the least probable messages
- 2. Assign 1 to code word of  $g^{(1)}$  and 0 to codeword of  $g^{(2)}$
- 3. Merge  $g^{(1)}$  and  $g^{(2)}$  by adding their probabilities
- 4. Repeat steps 1 4 until a single message is left.

#### **Example**:

message	probability	code word coding tree	Entropy: H = 2.185
g1	0.3	000	Average code word length of Huffman
g2	0.25	01	code: 2.2
g3	0.25	10	
g4	0.10	1100	
g5	0.10	111 0.20 1	

# **Statistical Dependence**

An image may be modelled as a set of <u>statistically dependent</u> random variables with a multivariate distribution  $p(x_1, x_2, ..., x_N) = p(x)$ .

Often the exact distribution is unknown and only <u>correlations</u> can be (approximately) determined.

Correlation of two variables: <u>Covariance</u> of two variables:

$$E[x_i x_j] = c_{ij}$$
  $E[(x_i - m_i)(x_j - m_j)] = v_{ij}$  with  $m_k = \text{mean of } x_k$ 

**Correlation matrix:** Covariance matrix:

Uncorrelated variables need not be statistically independent:

$$E[x_ix_j] = 0 \qquad p(x_ix_j) = p(x_i) p(x_j)$$

For Gaussian random variables, uncorrelatedness implies statistical independence.

## Karhunen-Loève Transform

(also known as Hotelling Transform or Principal Components Transform)

Determine uncorrelated variables y from correlated variables x by a linear transformation.

$$y = A (x - m)$$

$$E[\underline{y} \underline{y}^T] = A E[(\underline{x} - \underline{m}) (\underline{x} - \underline{m})^T] A^T = A V A^T = D$$
 D is a diagonal matrix

- An <u>orthonormal</u> matrix A which diagonalizes the real symmetric covariance matrix V always exists.
- A is the matrix of eigenvectors of V, D is the matrix of corresponding eigenvalues.

$$\underline{x} = A^T \underline{y} + \underline{m}$$
 reconstruction of  $\underline{x}$  from  $\underline{y}$ 

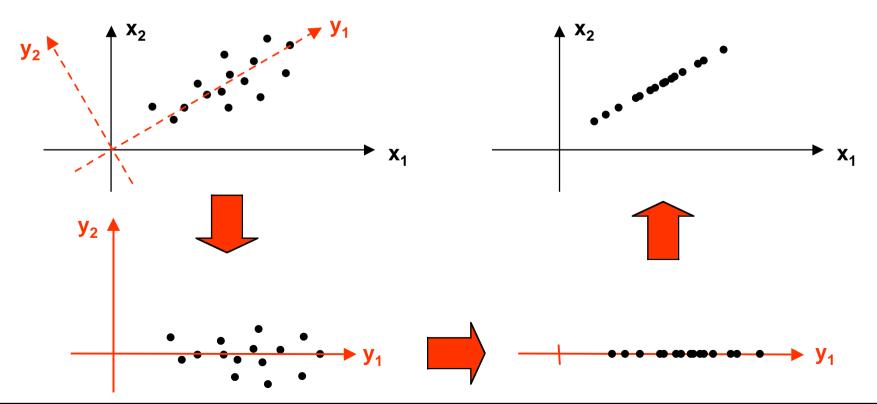
If x is viewed as a point in n-dimensional Euclidean space, then A defines a rotated coordinate system.

# Illustration of Minimum-loss Dimension Reduction

Using the Karhunen-Loève transform data compression is achieved by

- changing (rotating) the coordinate system
- omitting the least informative dimension(s) in the new coodinate system

## **Example**:



# Compression and Reconstruction with the Karhunen-Loève Transform

Assume that the eigenvalues  $\lambda_n$  and the corresponding eigenvectors in A are sorted in decreasing order  $\lambda_1 \ge \lambda_2 \ge ... \ge \lambda_N$ 

$$D = \begin{bmatrix} \lambda_1 & 0 & 0 & \dots \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \\ \dots \end{bmatrix}$$

Eigenvectors  $\underline{a}$  and eigenvalues  $\lambda$  are defined by  $V \underline{a} = \lambda \underline{a}$  and can be determined by solving det  $[V - \lambda I] = 0$ .

There exist special procedures for determining eigenvalues of real symmetric matrices V.

Then  $\underline{x}$  can be transformed into a K-dimensional vector  $\underline{y}_K$ , K < N, with a transformation matrix  $A_K$  containing only the first K eigenvectors of A corresponding to the largest K eigenvalues.

$$\underline{y}_K = A_K (\underline{x} - \underline{m})$$

The approximate reconstruction  $\underline{\mathbf{x}}'$  minimizing the MSE is

$$\underline{\mathbf{x}'} = \mathbf{A}_{\mathbf{K}}^{\mathsf{T}} \, \underline{\mathbf{y}}_{\mathbf{K}} + \underline{\mathbf{m}}$$

Hence  $\underline{y}_{K}$  can be used for data compression!

# **Example for Karhunen-Loève Compression**

$$\mathbf{N} = \mathbf{3}$$
$$\underline{\mathbf{x}}^{\mathsf{T}} = [\mathbf{x}_1 \ \mathbf{x}_2 \ \mathbf{x}_3]$$

N = 3  

$$\underline{\mathbf{x}}^{\mathsf{T}} = [\mathbf{x}_1 \ \mathbf{x}_2 \ \mathbf{x}_3]$$

$$V = \begin{bmatrix} 2 & -0.866 & -0.5 \\ -0.866 & 2 & 0 \\ -0.5 & 0 & 2 \end{bmatrix}$$

$$\underline{\mathbf{m}} = \underline{\mathbf{0}}$$

$$\underline{\mathbf{m}} = \underline{\mathbf{0}}$$

$$\det (V - \lambda I) = 0 \qquad \longrightarrow \qquad \lambda_1 = 3 \qquad \lambda_2 = 2 \qquad \lambda_3 = 1$$



$$\lambda_1 = 3$$

$$\lambda_2 = 2$$

$$\lambda_3 = 1$$

$$A^{T} = \begin{bmatrix} 0,707 & 0 & 0,707 \\ -0,612 & 0,5 & 0,612 \\ -0,354 & -0,866 & 0,354 \end{bmatrix} \qquad D = \begin{bmatrix} 3 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$D = \begin{bmatrix} 3 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

**Compression into K=2 dimensions:** 

$$\underline{y}_2 = A_2 \underline{x} = \begin{bmatrix} 0.707 & -0.612 & -0.354 \\ 0 & 0.5 & -0.866 \end{bmatrix} \underline{x}$$

**Reconstruction from compressed values:** 

$$\underline{\mathbf{x}} = \mathbf{A}_{2}^{\mathsf{T}} \underline{\mathbf{y}} = \begin{bmatrix} 0.707 & 0 \\ -0.612 & 0.5 \\ -0.354 & 0.354 \end{bmatrix} \underline{\mathbf{y}}$$

Note the discrepancies between the original and the approximated values:

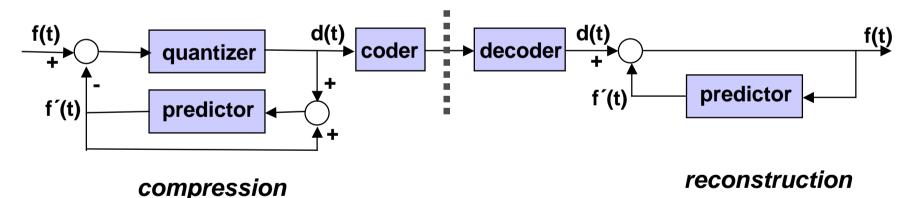
$$x_1' = 0.5 x_1 - 0.43 x_2 - 0.25 x_3$$
  
 $x_2' = -0.085 x_1 - 0.625 x_2 + 0.39 x_3$   
 $x_3' = 0.273 x_1 + 0.39 x_2 + 0.25 x_3$ 

# **Predictive Compression**

## **Principle:**

- estimate g<sub>mn</sub> from greyvalues in the neighbourhood of (mn)
- encode difference d<sub>mn</sub> = g<sub>mn</sub> g<sub>mn</sub>
- transmit difference data + predictor

For a 1D signal this is known as Differential Pulse Code Modulation (DPCM):



**Linear predictor** for a neighbourhood of K pixels:

$$g_{mn} = a_1g_1 + a_2g_2 + ... + a_Kg_K$$

Computation of a<sub>1</sub> ... a<sub>K</sub> by minimizing the expected reconstruction error

## **Example of Linear Predictor**

For images, a linear predictor based on 3 pixels (3rd order) is often sufficient:

$$g_{mn}' = a_1 g_{m,n-1} + a_2 g_{m-1,n-1} + a_3 g_{m-1,n}$$

If  $g_{mn}$  is a zero mean stationary random process with autocorrelation C, then minimizing the expected error gives

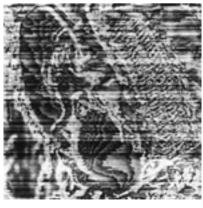
$$a_1c_{00} + a_2c_{01} + a_3c_{11} = c_{10}$$
  
 $a_1c_{01} + a_2c_{00} + a_3c_{10} = c_{11}$   
 $a_1c_{11} + a_2c_{10} + a_3c_{00} = c_{01}$ 

This can be solved for  $a_1$ ,  $a_2$ ,  $a_3$  using Cramer's Rule.

n	01	11
	00	10







#### **Example:**

Predictive compression with 2nd order predictor and Huffman coding, ratio 6.2

Left: Reconstructed image

Right: Difference image (right) with

maximal difference of 140 greylevels

# **Discrete Cosine Transform (DCT)**

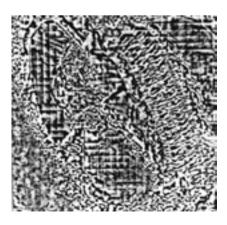
Discrete Cosine Transform is commonly used in image compression, e.g. in JPEG (Joint Photographic Expert Group) Baseline System standard.

$$\begin{aligned} \text{Definition of DCT:} \qquad & G_{00} = \frac{1}{N} \sum_{m=0}^{N-1} \sum_{n=0}^{N-1} g_{mn} \\ & G_{uv} = \frac{1}{2N^3} \sum_{m=0}^{N-1} \sum_{n=0}^{N-1} g_{mn} \cos[(2m+1)u\pi] \ \cos[(2n+1)v\pi] \end{aligned}$$

Inverse DCT: 
$$g_{mn} = \frac{1}{N}G_{00} + \frac{1}{2N^3}\sum_{u=0}^{N-1}\sum_{v=0}^{N-1}G_{uv}\cos[(2m+1)u\pi] \cos[(2n+1)v\pi]$$

Compression is accomplished by blockwise DCT + Huffman coding





### **Example:**

DCT compression with ratio 5.6

Left: Reconstructed image

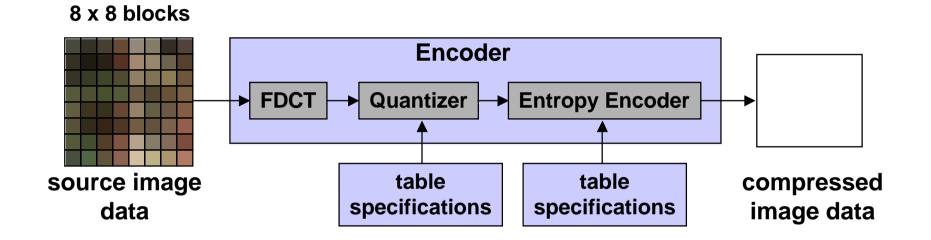
Right: Difference image (right)

with maximal difference of

125 greylevels

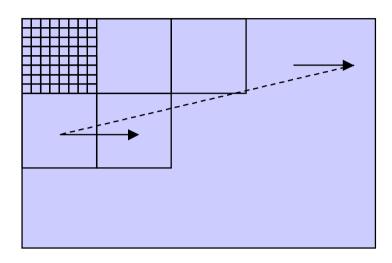
## Principle of Baseline JPEG

(Source: Gibson et al., Digital Compression for Multimedia, Morgan Kaufmann 98)

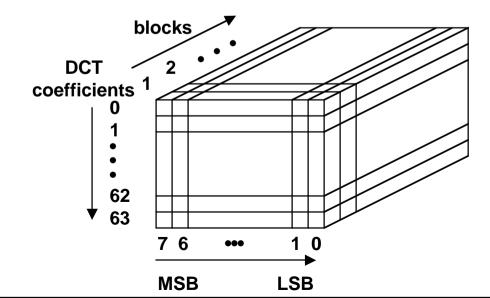


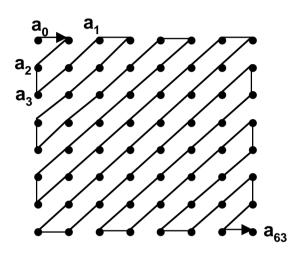
- partition image into 8 x 8 blocks, left-to-right, top-to-bottom
- compute Discrete Cosine Transform (DCT) of each block
- quantize coefficients according to psychovisual quantization tables
- order DCT coefficients in zigzag order
- perform runlength coding of bitstream of all coefficients of a block
- perform Huffman coding for symbols formed by bit patterns of a block

## **Illustrations for Baseline JPEG**



partitioning the image into blocks





DCT coefficient ordering for efficient runlength coding

transmission sequence for blocks of image

# JPEG-compressed Image



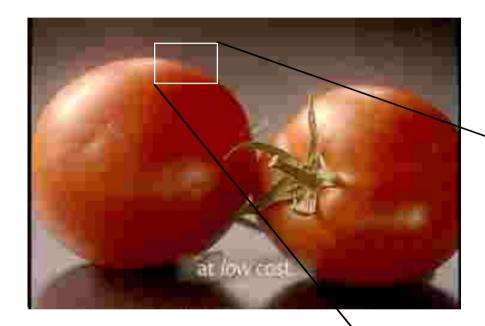


original 5.8 MB

JPEG-compressed 450 KB

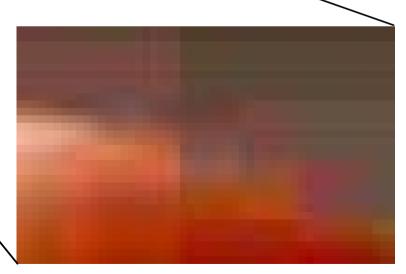
difference image standard deviation of luminance differences: 1,44

# Problems with Block Structure of JPEG



JPEC encoding with compression ratio 1:70

block boundaries are visible



# **Progressive Encoding**

Progressive encoding allows to first transmit a coarse version of the image which is then progressively refined (convenient for browsing applications).

## **Spectral selection**

- 1. transmission: DCT coefficients a<sub>0</sub> ... a<sub>k1</sub>
- 2. transmission: DCT coefficients  $a_{k1} \dots a_{k2}$

•

low frequency coefficients first

## **Successive approximation**

- 1. transmission: bits 7 ... n<sub>1</sub>
- 2. transmission: bits  $n_1+1 \dots n_2$

•

most significant bits first

# **MPEG Compression**

## **Original goal:**

Compress a 120 Mbps video stream to be handled by a CD with 1 Mbps.

### **Basic procedure:**

- temporal prediction to exploit redundancy between image frames
- frequency domain decomposition using the DCT
- selective reduction of precision by quantization
- variable length coding to exploit statistical redundancy
- additional special techniques to maximize efficiency

### **Motion compensation:**

16 x 16 blocks luminance with 8 x 8 blocks chromaticity of the current image frame are transmitted in terms of

- an offset to the best-fitting block in a reference frame (motion vector)
- the compressed differences between the current and the reference block

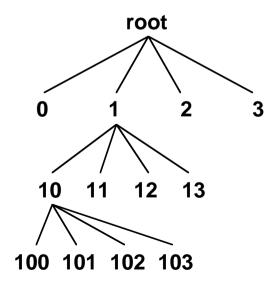
# **Quadtree Image Representation**

## **Properties of quadtree:**

- every node represents a squared image area, e.g. by its mean greyvalue
- every node has 4 children except leaf nodes
- children of a node represent the 4 subsquares of the parent node
- nodes can be refined if necessary

	100	101	44
0	102	103	11
0	1:	2	13
2	3		

## quadtree structure:



# **Quadtree Image Compression**

A complete quadtree represents an image of N =  $2^K x \ 2^K$  pixels with  $1 + 4 + 16 + ... + 2^{2K}$  nodes  $\approx 1.33$  N nodes.

An image may be compressed by

- storing at every child node the <u>greyvalue difference</u> between child and parent node
- <u>omitting</u> subtrees with equal greyvalues

Quadtree image compression supports progressive image transmission:

- images are transmitted by increasing quadtree levels, i.e. images are progressively refined
- intermediate image representations provide useful information, e.g. for image retrieval

