



Universität Hamburg

DER FORSCHUNG | DER LEHRE | DER BILDUNG

**MIN-Fakultät**  
**Fachbereich Informatik**  
Arbeitsbereich SAV/BV (KOGS)

# Image Processing 1 (IP1)

## Bildverarbeitung 1

Lecture 9 – Image Compression 2

Winter Semester 2014/15

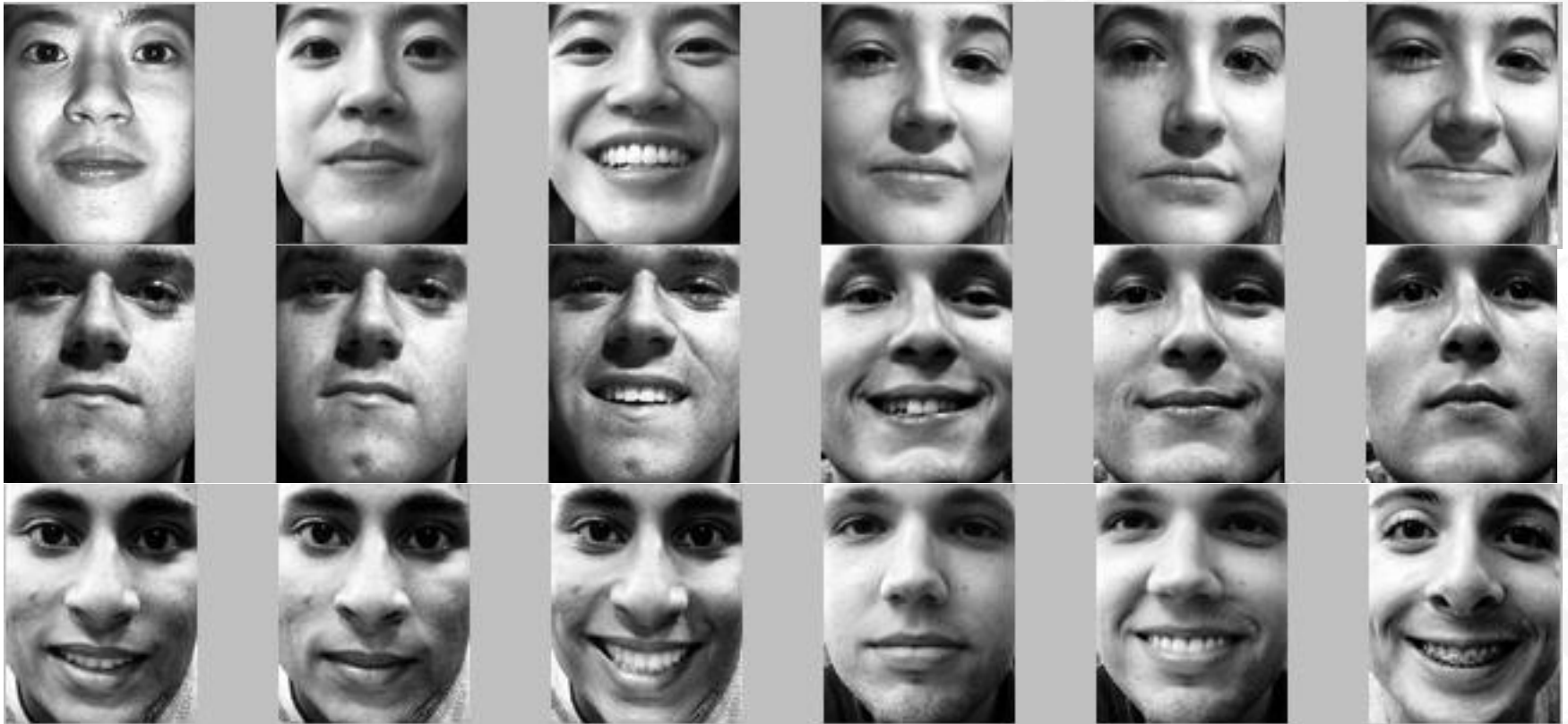
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# Eigenfaces I

Turk & Pentland: Face Recognition Using Eigenfaces (1991)

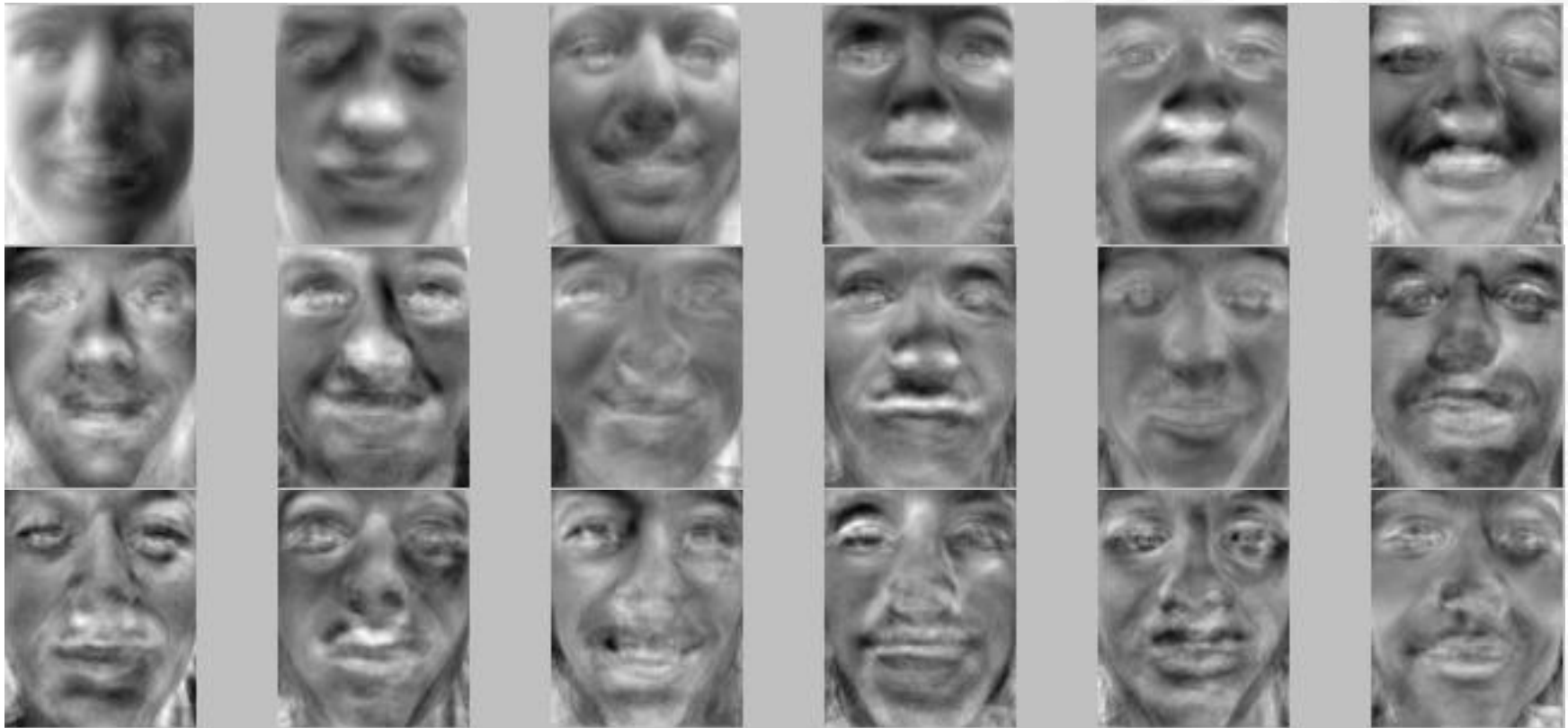
**Eigenfaces = eigenvectors of covariance matrix of normalized face images**

Example images of eigenface project at Rice University



# Eigenfaces II

First 18 eigenfaces determined from covariance matrix of 86 face images:



# Eigenfaces III

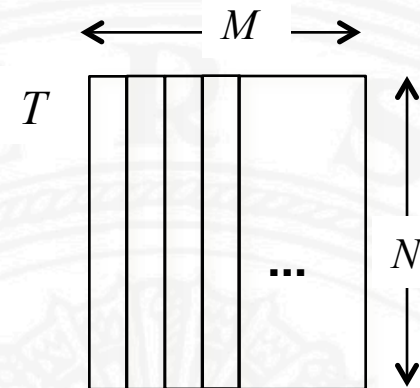
Original images and reconstructions from 50 Eigenfaces:



# Eigenvalues of Empirical Covariance Matrices

In praxis, the covariance matrix of images must be determined empirically.

1. Normalize  $M$  images to have equal format and size  $N$
2. Form column vector of each image with  $N$  entries
3. Form matrix  $T$  of all images
4. Determine average image and subtract from all images



Empirical covariance matrix is  $V = T T^T$  with  $v_{ij} = \sum_{k=1}^N t_{ik} t_{jk}$

Determining the covariance matrix  $V$  of images is computationally costly:  
Image size  $100 \times 100 \rightarrow 10^8$  entries!

Note that eigenvalues of  $T^T T$  are related to eigenvalues of  $T T^T$ :

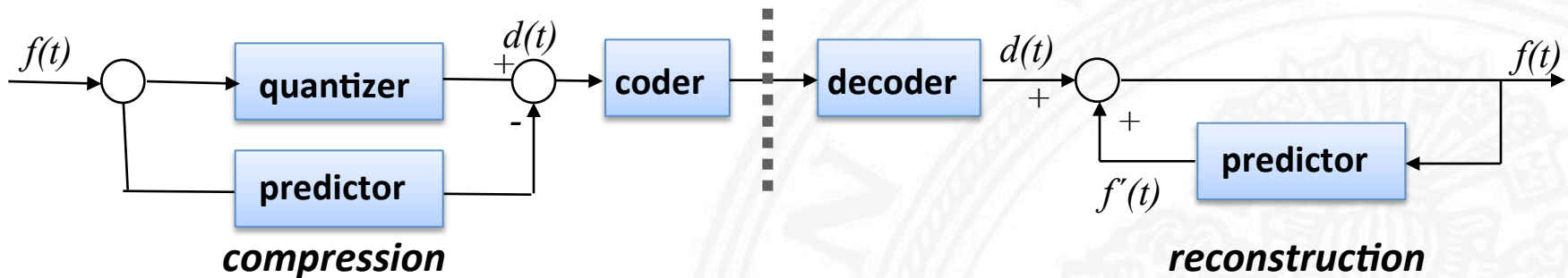
$$T^T T \vec{a}_i = \lambda_i \vec{a}_i \quad \Rightarrow \quad T T^T T \vec{a}_i = V T \vec{a}_i = \lambda_i T \vec{a}_i$$

Hence eigenvalues can be computed for  $T^T T$  which is typically much smaller than  $V$ !

# Lossless Predictive Coding

## Principle:

- estimate  $g_{mn}'$  from greyvalues in the neighbourhood of  $(mn)$
- encode difference  $d_{mn} = g_{mn} - g_{mn}'$
- transmit difference data (+ initially predictor)



Linear predictor for a neighbourhood of  $K$  pixels (1-dimensional notation):

$$g_n' = a_1 g_{n-1} + a_2 g_{n-2} + \dots + a_K g_{n-K} \rightarrow \text{difference } g_n - g_n' \text{ is encoded}$$

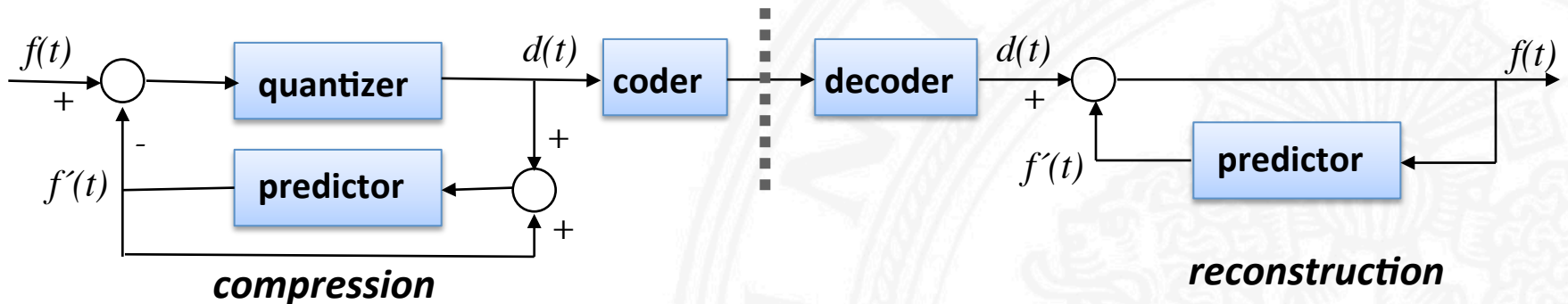
What is the effect of a first-order encoder with  $K=1$ ?

# Lossy Prediction

## Principle:

- estimate  $g_{mn}'$  from greyvalues in the neighbourhood of (mn)
- encode **quantized** difference  $d_{mn} = g_{mn} - g_{mn}'$
- transmit difference data (+ initially predictor)

For a 1D signal this is known as Differential Pulse Code Modulation (DPCM):



Computation of  $a_1 \dots a_K$

in a linear predictor  $g_n' = a_1 g_{n-1} + a_2 g_{n-2} + \dots + a_K g_{n-K}$

by minimizing the expected reconstruction error:  $E\{ [g_n' - g_n]^2 \}$

# Minimizing the Prediction Error

If  $\vec{g}_n$  is a zero mean stationary random process with autocorrelation  $C$ :

$$g'_n = \vec{a}^T \vec{g}_K \quad \text{where} \quad \vec{g}_K^T = (g_{n-1} \quad g_{n-2} \quad \cdots \quad g_{n-K})$$

$$C = \begin{pmatrix} E\{g_{n-1}g_{n-1}\} & \cdots & E\{g_{n-1}g_{n-K}\} \\ \vdots & \ddots & \vdots \\ E\{g_{n-K}g_{n-1}\} & \cdots & E\{g_{n-K}g_{n-K}\} \end{pmatrix} \quad \vec{c} = \begin{pmatrix} E\{g_n g_{n-1}\} \\ \vdots \\ E\{g_n g_{n-K}\} \end{pmatrix}$$

The expectation value of the prediction error can be derived as:

$$\begin{aligned} E\{(g'_n - g_n)^2\} &= E\{(\vec{a}^T \vec{g}_K - g_n)^2\} = E\{(\vec{a}^T \vec{g}_K)^2\} - 2E\{(\vec{a}^T \vec{g}_K g_n)^2\} + E\{(g_n)^2\} \\ &= \vec{a}^T C \vec{a} - 2\vec{a}^T \vec{c} + E\{(g_n)^2\} \end{aligned}$$

Minimizing the error gives:

$$\frac{\partial}{\partial \vec{a}} E\{(g'_n - g_n)^2\} = 2\vec{a}^T C - 2\vec{c} = 0 \quad \Rightarrow \quad \vec{a}^T C = \vec{c} \quad \Rightarrow \quad \vec{a}^T = 2\vec{c} C^{-1}$$



# Example of Linear Predictor

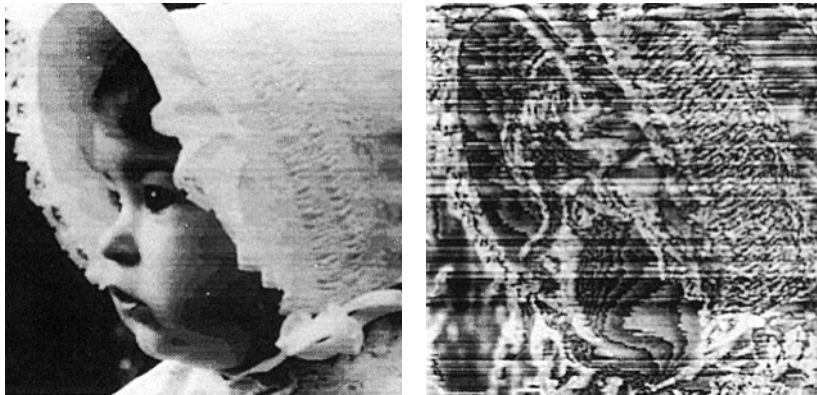
For images, a linear predictor based on 3 pixels (3rd order) is often sufficient:

$$g'_n = a_1 \vec{g}_{n-1} + a_2 \vec{g}_{n-2} + a_3 \vec{g}_{n-3}$$

Example for mapping into 2D:

n-3	n-2
n-1	n

Example:



Predictive compression with 2nd order predictor and Huffman coding, ratio 6.2

Left: Reconstructed image

Right: Difference image (right) with maximal difference of 140 greylevels

# Discrete Cosine Transformation (DCT)

Discrete Cosine Transform is commonly used for image compression, e.g. in JPEG (Joint Photographic Expert Group) Baseline System standard.

Definition of DCT: 
$$G_{00} = \frac{1}{N} \sum_{m=0}^{N-1} \sum_{n=0}^{N-1} g_{mn}$$

$$G_{uv} = \frac{1}{2N^3} \sum_{m=0}^{N-1} \sum_{n=0}^{N-1} g_{mn} \cos[(2m+1)u\pi] \cos[(2n+1)v\pi]$$

Inverse DCT:

$$g_{mn} = \frac{1}{N} G_{00} + \frac{1}{2N^3} \sum_{u=0}^{N-1} \sum_{v=0}^{N-1} G_{uv} \cos[(2m+1)u\pi] \cos[(2n+1)v\pi]$$

**In effect, the DCT computes a Fourier Transform of a function made symmetric at N by a mirror copy.**



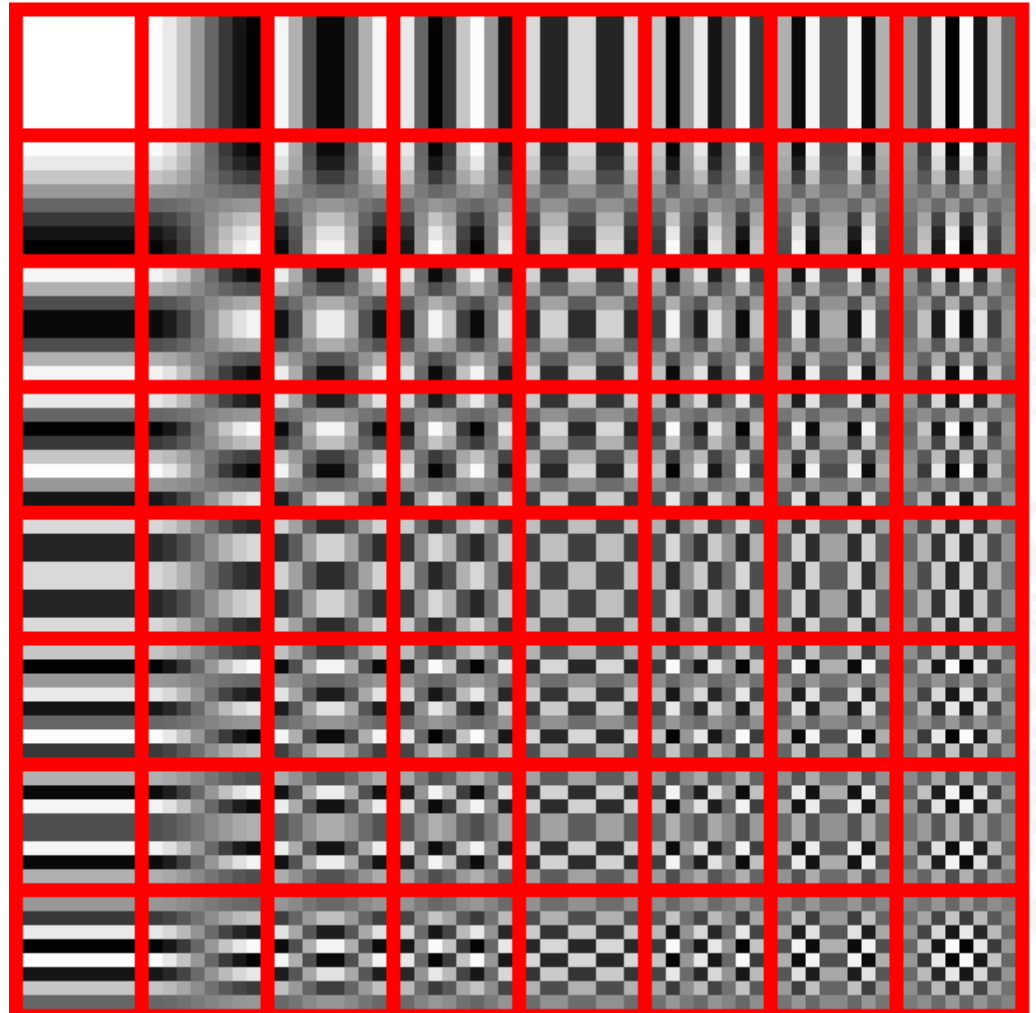
- 1. Result does not contain sinus terms**
- 2. No wrap-around errors.**

DCT does not imply a compression!

But: A compression may be achieved by frequency dismissing or quantization.

# Coefficients of the 2D Cosine Transformation

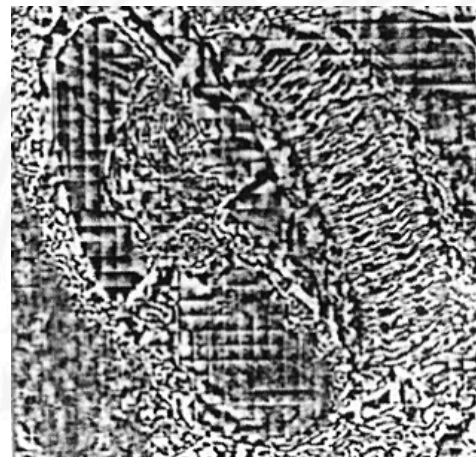
The coefficients of the 8x8-DCT-Transformation represent Cosine fractions with lengths as multiples of  $\pi$ :



# Example: DCT Compression

## Example:

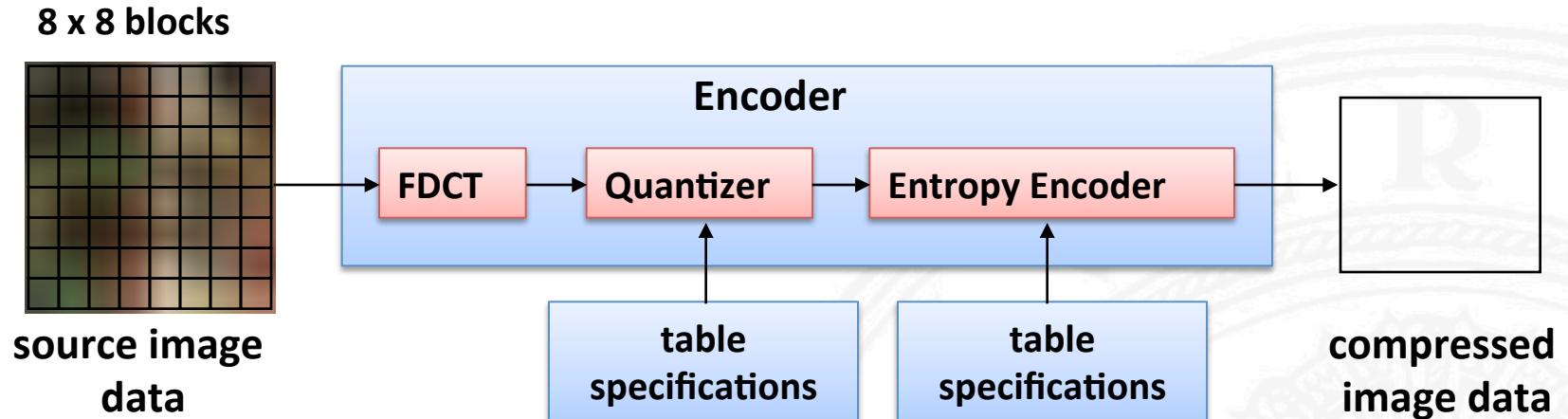
- DCT compression with ratio 1 : 5.6
- Left: Reconstructed image
- Right: Difference image (right) with maximal difference of 125 greylevels



Compare with Predictive Huffmann Coding of similar compression ratio!

# Principle of Baseline JPEG

(Source: Gibson et al., Digital Compression for Multimedia, Morgan Kaufmann 98)



- transform RGB into YUV coding, subsample color information
- partition image into 8 x 8 blocks, left-to-right, top-to-bottom
- compute Discrete Cosine Transform (DCT) of each block
- quantize coefficients according to psychovisual quantization tables
- order DCT coefficients in zigzag order
- perform runlength coding of bitstream of all coefficients of a block
- perform Huffman coding for symbols formed by bit patterns of a block

# YUV Color Model for JPEG

Human eyes are more sensitive to luminance (brightness) than to chrominance (color). YUV color coding allows to code chrominance with fewer bits than luminance.

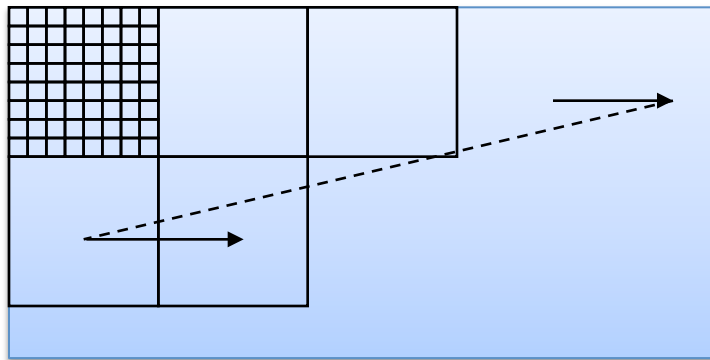
## CCIR-601 scheme:

$$\begin{array}{llll}
 Y = & 0.2990 R & + 0.5870 G & + 0.1440 B & \text{"luminance"} \\
 Cb = & 0.1687 R & - 0.3313 G & + 0.5000 B & \text{"blueness"} \\
 Cr = & 0.5000 R & - 0.4187 G & - 0.0813 B & \text{"redness"}
 \end{array}$$

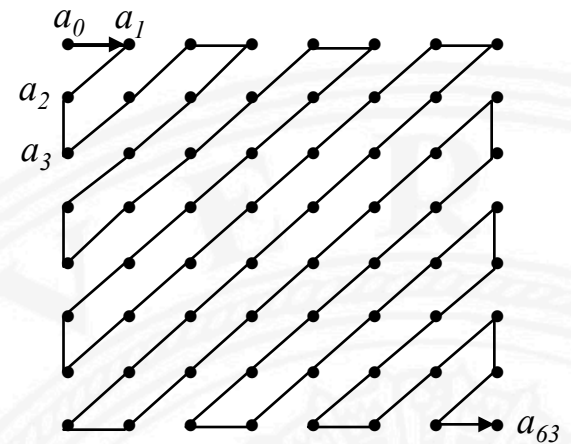
## In JPEG:

1 Cb, 1 Cr and 4 Y values for each 2 x 2 image subfield  
(6 instead of 12 values)

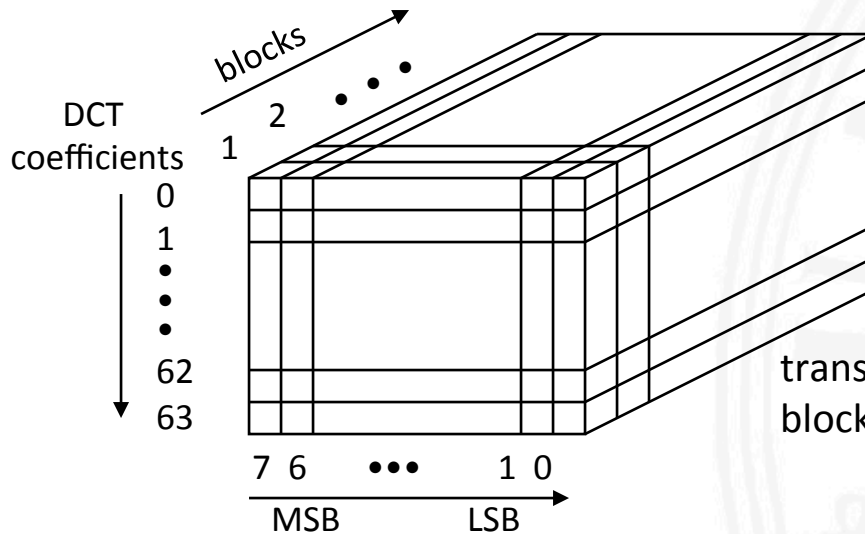
# Illustrations for Baseline JPEG



partitioning the image into blocks



DCT coefficient ordering for efficient runlength coding



transmission sequence for blocks of image

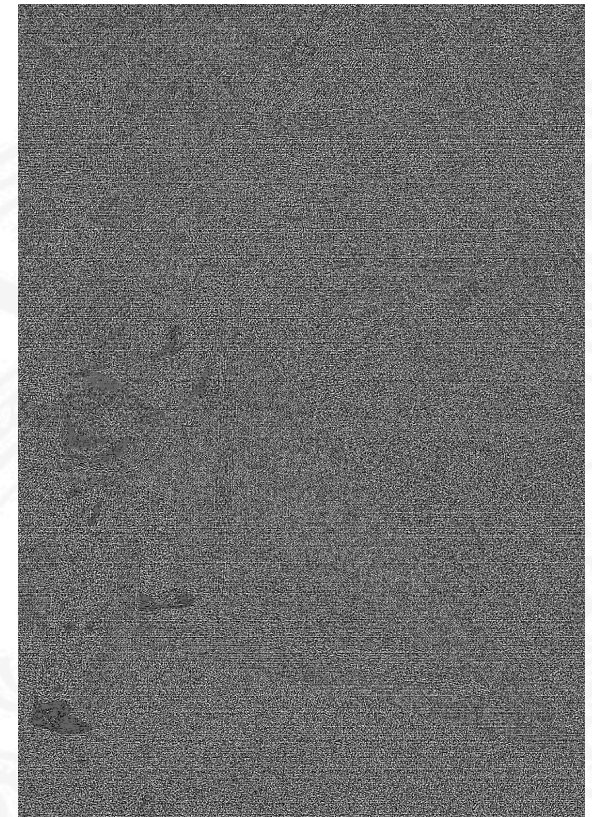
# JPEG-compressed Image



original  
5.8 MB



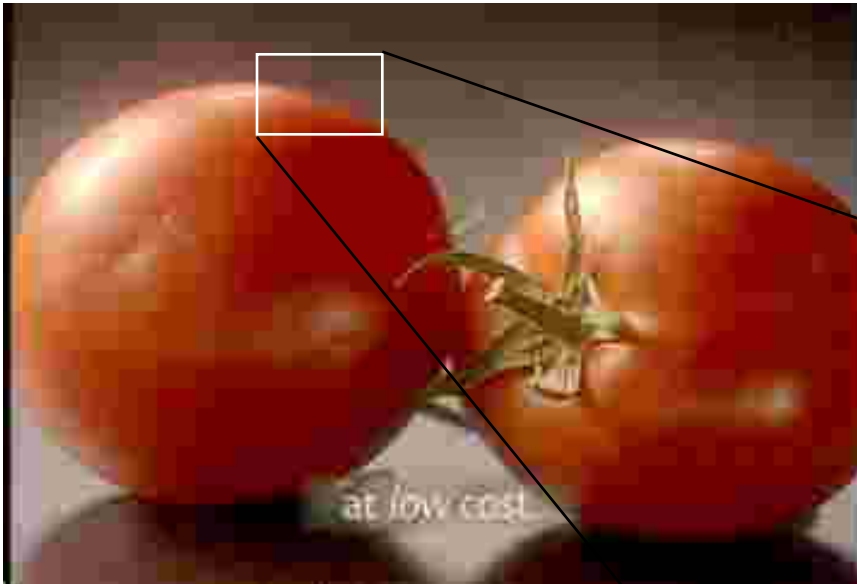
JPEG-compressed  
450 KB



difference image  
standard deviation of luminance  
differences: 1,44

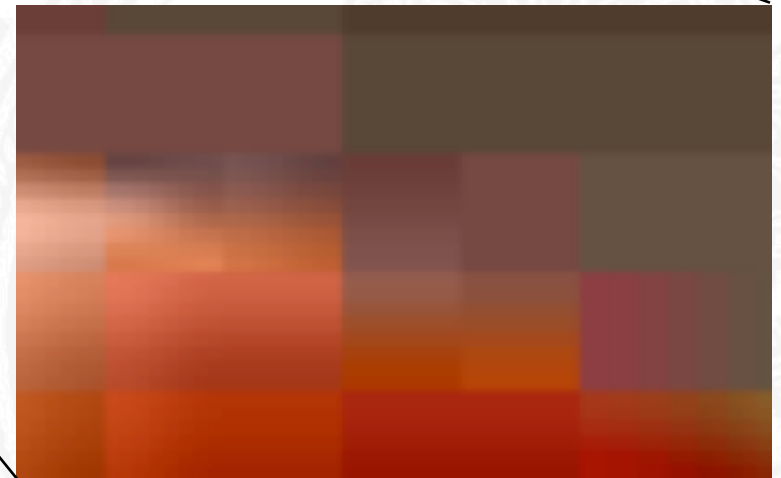


# Problems with Block Structure of JPEG



JPEG encoding with  
compression ratio 1:70

block boundaries are visible



# Progressive Encoding

Progressive encoding allows to first transmit a coarse version of the image which is then progressively refined (convenient for browsing applications).

## Spectral selection

1. transmission: DCT coefficients  $a_0 \dots a_{k1}$
2. transmission: DCT coefficients  $a_{k1} \dots a_{k2}$
- ⋮

low frequency  
coefficients first

## Successive approximation

1. transmission: bits  $7 \dots n_1$
2. transmission: bits  $n_1+1 \dots n_2$
- ⋮

most significant  
bits first

# MPEG Compression

## Original goal:

Compress a 120 Mbps video stream to be handled by a CD with 1 Mbps.

## Basic procedure:

- temporal prediction to exploit redundancy between image frames
- frequency domain decomposition using the DCT
- selective reduction of precision by quantization
- variable length coding to exploit statistical redundancy
- additional special techniques to maximize efficiency

## Motion compensation:

- 16 x 16 blocks luminance with 8 x 8 blocks chromaticity of the current image frame are transmitted in terms of
  - an offset to the best-fitting block in a reference frame (motion vector)
  - the compressed differences between the current and the reference block

# MPEG-7 Standard

## MPEG-7: “Multimedia Content Description Interface”

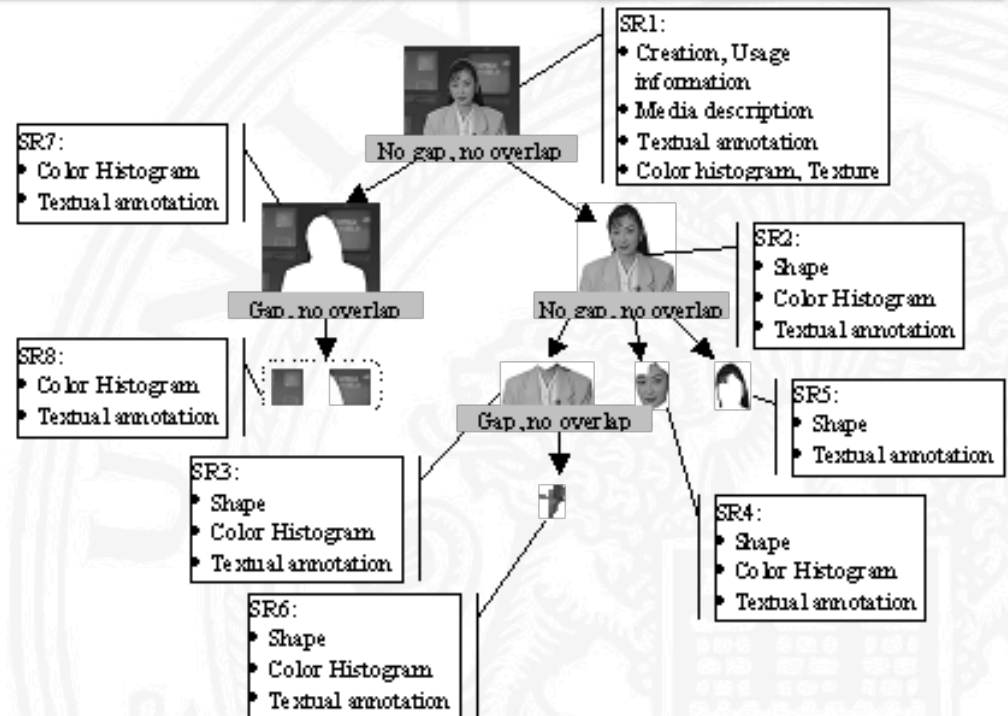
- introduced as standard in 2002
- supports multimedia content description (audio and visual)
- not aimed at a particular application

### Description of visual contents in terms of:

- descriptors (e.g. color, texture, shape, motion, localization, face features)
- segments
- structural information
- Description Definition Language (DDL)



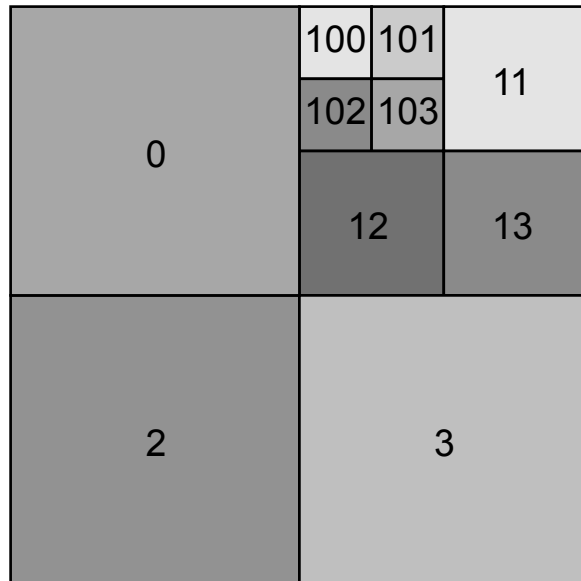
Segmentation methodology required!



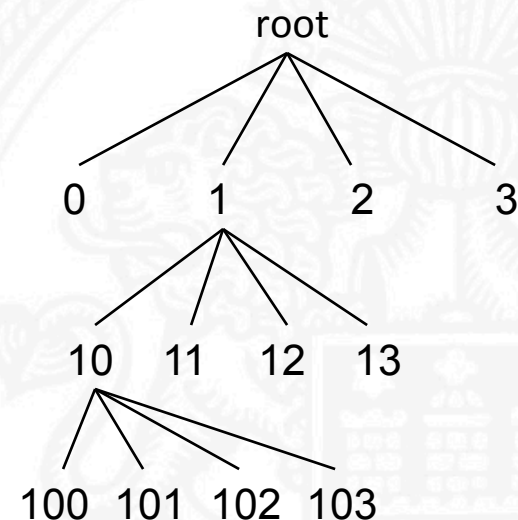
# Quadtree Image Representation

## Properties of quadtree:

- every node represents a squared image area, e.g. by its mean greyvalue
- every node has 4 children except leaf nodes
- children of a node represent the 4 subsquares of the parent node
- nodes can be refined if necessary



## quadtree structure:



# Quadtree Image Compression

A complete quadtree represents an image of  $N = 2K \times 2K$  pixels with  
 $1 + 4 + 16 + \dots + 2^{2K} \approx 1.33 N$  nodes.

An image may be compressed by

- storing at every child node the greyvalue difference between child and parent node
- omitting subtrees with equal greyvalues

Quadtree image compression supports progressive image transmission:

- images are transmitted by increasing quadtree levels, i.e. images are progressively refined
- intermediate image representations provide useful information, e.g. for image retrieval

